





Inelastic X-Ray Scattering

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Scope & Outline



Huge & Complex Topic - Appropriate for a semester, not an hour...

Main Goal:

Introduce Capabilities & Put them in Context What properties can be measured? Why consider these techniques?

Outline:

Introduction Instrumentation Non-Resonant Techniques Resonant Techniques (Briefly)



Some References



 Shulke, W. (2007), Electron Dynamics by Inelastic X-Ray Scattering. New York: Oxford University Press.
 & References therein (RIXS, X-Ray Raman, NRIXS...)

Squires, G. L. (1978). Introduction to the Theory of Thermal Neutron Scattering. New York: Dover Publications, Inc.
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Bruesch, P. (1982). Phonons: Theory and Experiments, Springer-Verlag.

Cooper, M.J. (1985). Compton Rep. Prog. Phys. <u>48</u> 415-481

Ament, L.J., et al, (2011). RIXS, Rev. Mod. Phys. 83 705-767



Calvin & Hobbes (Watterson)



Scientific Information



Electronic Dynamics

Chemical Bonding (Valence, etc) Electronic Energy Levels (atomic/molecular) Delocalized Electronic Excitations Generalized Dielectric Response Fermi-Surface Topology Magnetic structure



Table Of IXS Techniques/Applications



Technique	Comment	Energy Scale	Information
X-Ray Raman	(E)XAFS in Special Cases	E _{in} ~10 keV ΔE~100-1000 eV	Edge Structure, Bonding
Compton	Oldest Note: Resolution Limited	E _{in} ~ 150 keV ΔE ~ keV	Electron Momentum Density Fermi Surface Shape
Magnetic Compton	Weak But Possible	E _{in} ~ 150 keV ΔE ~ keV	Density of Unpaired Spins
RIXS Resonant IXS	High Rate Somewhat Complicated	E _{in} ~ 4-15 keV ΔE ~ 1-50 eV	Electronic Structure
SIXS Soft (Resonant) IXS	Under Development	0.1-1.5 keV ΔE ~ 0.05 - 5 eV	Electronic & Magnetic Structure
NRIXS Non-Resonant IXS	Low Rate Simpler	E _{in} ~10 keV ΔE ~ <1-50 eV	Electronic Structure
IXS High-Resolution IXS	Large Instrument	E _{in} ~16-26 keV ΔE ~ 1-100 meV	Phonon Dispersion
NIS Nuclear IXS	Atom Specific Via Mossbauer Nuclei	E _{in} ~ 14-25 keV ΔE ~ 1-100 meV	Element Specific Phonon Density of States (DOS)

Note: ΔE = Typical Energy Transfer (Not Resolution) Note also: Limit to FAST dynamics (~10 ps or faster) AQRB @ AOFSRR Cheiron School 2012





Spectroscopy Absorption vs. Scattering



Measure absorption as you scan the incident energy

When energy hits a resonance, or exceeds a gap, or... get a change

> Free Parameters: E₁, e₁, k₁ -> In principle, 3+ dimensions but in practice mostly 1 (E₁)



Optical Spect. NiO Newman, PR 1959

Scattering Spectroscopy IXS, Raman, INS

Absorption

Spectroscopy

Optical, IR, NMR

 $E_1 k_1 e_1$

Free Parameters: E_1 , e_1 , k_1 , E_2 , e_2 , k_2 -> In principle, 6+ dimensions in practice, mostly 4: E_1 - E_2 , $Q = k_2$ - k_1

 $\mathbf{E}_2 \, \mathbf{k}_2 \, \mathbf{e_2}$

Scattering is more complex, but gives more information.



Laue Photo

Bragg peaks

X-Ray Scattering Diagram

$$\mathbf{E}_{1} \ \mathbf{K}_{1} \ \mathbf{e}_{1}$$
$$\mathbf{E}_{1} = \hbar \boldsymbol{\omega}_{1} = \frac{\mathbf{h}\mathbf{c}}{\lambda_{1}} = \hbar \mathbf{c}\mathbf{k}_{1} \qquad \mathbf{k}_{1} = |\mathbf{k}_{1}|$$



SIKE





K,

Two Main Quantities:

Energy Transfer

E or
$$\Delta E = E_1 - E_2 \equiv \hbar \alpha$$

Note: For Resonant Scattering

Momentum Transfer

Q = $k_2 - k_1$

$$\mathbf{Q} \equiv \mathbf{k}_2 - \mathbf{k}_1$$
$$\mathbf{Q} \equiv |\mathbf{Q}| \approx \frac{4\pi}{\lambda_1} \sin\left(\frac{\Theta}{2}\right)$$

$$d = \frac{2\pi}{|\mathbf{Q}|}$$

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Resonant: RIXS SIXS Tune near an atomic transition energy ie: K, L or M Edge of an atom Generally High Rate Complex interpretation Energy fixed by resonance -> poorer resolution

Non-Resonant: IXS NRIS Far from any atomic transition. Small cross-section Interpretation directly in terms of electron density Choose energy to match optics -> good Resolution

Slightly Different Experimental Setup

Nuclear Resonant -> Different entirely... later.



Dynamic Structure Factor



It is convenient, especially for non-resonant scattering, to separate the properties of the material and the properties of the interaction of the photon with the material (electron)



$$\widehat{\text{Prime}}$$

$$\text{Different Views of S(Q,w)}$$

$$S(Q,\omega) = \sum_{\lambda,\lambda'} p_{\lambda} \left| \lambda' \right| \sum_{\substack{elerrows\\j}} e^{i\mathbf{Q}\cdot\mathbf{r}_{j}} \left| \lambda \right|^{2} \delta(E_{\lambda}' - E_{\lambda} - \hbar\omega) \quad \text{Transition between states}$$

$$= \frac{1}{2\pi\hbar} \int dt \ d^{3}r \ d^{3}r' \ e^{-i\mathbf{Q}\cdot\mathbf{r}_{j}} \left| \rho(\mathbf{r}', t = 0)\rho^{+}(\mathbf{r} + \mathbf{r}', t) \right| \rightarrow N\sum_{\substack{q\\Model}} \sum_{\substack{Acws\\level}} \frac{f_{d}(\mathbf{Q})}{\sqrt{2M_{d}}} \ e^{-W_{d}(\mathbf{Q})} \mathbf{Q} \cdot \mathbf{e}_{\mathbf{q}|\mathbf{q}|} \ e^{i\mathbf{Q}\cdot\mathbf{r}_{d}} \left| \delta_{(\mathbf{Q}-\mathbf{q}),\mathbf{r}}F_{\mathbf{q}|}(\omega) \right|$$

$$= \frac{1}{\pi} \frac{1}{1 - e^{-\hbar\omega/k_{B}T}} \operatorname{Im}\left\{-\chi(\mathbf{Q},\omega)\right\} = \frac{1}{\pi} \frac{1}{1 - e^{-\hbar\omega/k_{B}T}} \frac{1}{\nu(\mathbf{Q})} \operatorname{Im}\left\{-\varepsilon^{-1}(\mathbf{Q},\omega)\right\} \quad \text{Generalized Response} (e.g. \text{ Dielectric functions})$$
See Squires, Lovesy, Shulke, Sinha (JPCM 13 (2001) 7511)





Why is it Better to Measure in Momentum/Energy Space?

For diffraction (and diffractive/coherent imaging), one goes to great lengths to convert from momentum space to real space. If possible, a direct real-space measurement would be preferred.

Equilibrium Dynamics: Q,E space is what you want. Normal modes -> peaks in energy space -> clear and "easy" Periodicity of crystals -> Excitations are plane waves -> Q is well defined

Non-equilibrium dynamics -> Real space (X,t) can be better.

Non-periodic (disordered) materials -> Expand in plane waves. (oh well)









<u>Main Components</u>

Monochromator: Modestly Difficult Accepts 15x40 µrad²

Sample Stages

Straightforward Only Need Space

Analyzer: Large Solid Angle Difficult



The Goal: Put it all together and Keep Good Resolution, Not Lose Flux

Note: small bandwidth means starting flux reduced by 2 to 3 orders of magnitude...



Basic Optical Concept

Bragg's Law : $\lambda = 2d \sin(\Theta_B) \implies \Delta \theta = \tan(\Theta_B)$

Working closer to $\Theta_B \sim 90$ deg. maximizes the angular acceptance for a given energy resolution...

Better energy resolution -> Closer to 90 degrees -> Large Spectrometer

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Note: For resolution >300 meV, bending can be OK.



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Analyzer Crystal



9.8 m Radius, 10cm Diameter
50 or 60 μm blade, 2.9 mm depth, 0.74 mm pitch Channel width (after etch): ~ 0.15 mm 60 to 65% Active Area SPring. 8





Note difference between RIXS and NRIXS NRIXS: Choose the energy to match the optics RIXS: Resonance chooses energy -> usually worse resolution











Atomic Dynamics: Systems and Questions

Disordered Materials (Liquids & Glasses):

Still a new field -> Nearly all new data is interesting.

How do dynamical modes survive the cross-over from the long-wavelength continuum/hydrodynamic regime to atomic length scales?

Crystalline Materials:

Basic phonon model does very well -> Specific questions needed. Phonon softening & Phase transitions (e.g. CDW Transition) Thermal Properties: Thermoelectricity & Clathrates Sound Velocity in Geological Conditions Pairing mechanism in superconductors



Disordered Materials

Liquids & Glasses

First Glance: Triplet response similar for most materials. Dispersing Longitudinal Sound Mode + Quasi-Elastic peak



(Kawakita et al)



a-Se (Scopigno et al)



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The IXS Advantage

IXS has no kinematic limitations ($\Delta E \leftrightarrow E_{\gamma}$) Large energy transfer at small momentum transfer -> excellent access to mesoscopic length scales

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Also:



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No Incoherent Background Small Beam Size (\$<0.1mm)



<1 meV resolution is hard
 But:
 Low Rates for Heavy Materials
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"Fast Sound" at the Metal-Non-Metal Transition in Liquid Hg



Universal Phenomenon in Liquids: Expand a liquid metal enough and it becomes an insulator.





Ishikawa, Inui, *et al*, PRL 93 (2004) 97801



Ultrasonic Velocity

Suggests a change in the microscopic density fluctuations...

Probably general phenomenon... but no confirmation yet. (Next M-I transition under discussion)

~2 months of beam time...

On Positive Dispersion

Very General feature:

As Q increases the phase velocity of the acoustic mode becomes larger than the Low-Q (e.g. ultrasonic) sound velocity.

Casual explanation

For smaller length scales (high Q) and higher frequencies, a liquid, locally, resembles a solid which has a faster sound velocity.

Partial explanation in terms of a visco-elastic model...

Scopigno & Ruocco RMP 2005 Ruocco & Sette CMP 2008 Bryk et al JCP 2010







Hosokawa, et al











Phonons in a Crystal



Normal Modes of Atomic Motion = Basis set for small displacements

Must have enough modes so that each atom in a crystal can be moved in either x,y or z directions by a suitable superposition of modes.

If a crystal has N unit cells and R atoms/Cell then it has 3NR Normal Modes

Generally: Consider the unit cell periodicity separately by introducing a "continuous" momentum variable, **q**.

-> 3R modes for any given **q**





B Layer

Mg Layer

Layered Material Hexagonal Structure



B-B Bond is Short & Stronger

> Mg-Mg Bond is Longer & Weaker

3 Atoms/cell -> 9 modes / Q Point

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LA Mode Compression Mode

TA Mode Shear Mode

Optical Mode Atoms in one unit cell move against each-other








Conventional superconductivity is driven by lattice motion. "Phonon Mediated" - lattice "breathing" allows electron pairs to move without resistance.

Original Picture: Limited interest in *specific* phonons... Now: Lots of interest as this makes a huge difference. Particular phonons can couple very strongly to the electronic system.

How does this coupling appear in the phonon spectra? <u>Softening</u>: Screening lowers the energy of the mode

(abrupt change <=> Kohn Anomaly)

<u>Broadening</u>:

Additional decay channel (phonon->e-h pair) reduces the phonon lifetime



Electron Phonon Coupling & Kohn Anomalies



On the scale of electron energies, a phonon has nearly no energy. A phonon only has momentum.

So a phonon can move electrons from one part of the Fermi surface to another, but NOT off the Fermi surface.

Phonon Momenta Q<2k_F

Fermi Surface Diameter = 2k_f Large Momentum Q>2k_F Can Not Couple to the Electronic system



Dark Blue Line: Conventional, Phonon-Mediated Superconductors

1960

Year

Nb₂Ge

1980

-diar

2000

Nb Nb₃Sn

1940

Pb

1920

Hg 0

From T. Fukuda





High T_c (39K)



Electronic Structure



Kortus, et al, PRL 86 (2001)4656

Nagamatsu, et al, Nature **410**, (2001) 63.

Simple Structure... straightforward calculation.

Phonon Structure

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Bohnen, et al. PRL. 86, (2001) 5771.

BCS (Eliashberg) superconductor with mode-specific electron-phonon coupling.



Excellent agreement with LDA Pseudopotential calculation.

PRL 92(2004) 197004: Baron, Uchiyama, Tanaka, ... Tajima









Similar types of results for Mn Doped MgB₂ CaAlSi Boron Doped Diamond

Extrapolation to the High T_c Copper Oxide Materials....

- 1. Much More Complex
 - 2. Calculations Fail so interpretation in difficult





Everyone has their favorite mode, or modes, usually focus on Cu-O planes



At the level of phonon spectra, the anomaly of the Bond Stetching Mode is very large



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Copper Oxide Superconductors Remain Challenging...

De-Twinned YBCO: YBa₂Cu₃O_{7-III}

 $T_{c} = 91 \text{ K}$

Cu(2) O(2) O(3) O(4) Cu(1)



In-Plane Modes

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Problems

Beautiful Agreement Compare IXS to Calculation

Shows Bond Stretching Anomaly Is Huge (>> Buckling Anomaly)

At low T (~30K) Bohnen, et al. AQRB @ AOFSRR Cheiron School 2012



$La_{1.48}Nd_{0.4}Sr_{0.12}CuO_{4}$





Phonon anomaly (blurring) is highly localized in momentum space...

Expt done by a neutron scatterer because he could not get good enough resolution using neutrons

Forces a reinterpretation of some Neutron data (Reznik, Nature, 2006)

TXS Q Reso

Note:

IXS Q Resolution Analyzer array Count rate limited.

n



Proximity to Magnetic Order



Phonons in the Iron Pnictides





1111 Materials -> 8 Atoms/cell -> 24 Modes (6 mostly oxygen)

Magnetism -> 16 Atoms / 48 Modes

No ab mirror plane -> Complex motions appear quickly as one moves away from gamma.

Phonon response, in itself, is remarkably plain: NO very large line-widths NO obvious anomalies NO asymmetric Raman lines





-> interpretations requires modeling...



(No Magnetism)

Some agreement, but details are poor

Also, fails to get correct As height above the Fe planes.

A Better Model is Needed



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Fe-As B	ond Length	
Expt:	2.41 Å	
GGA:	2.31 - 2.33	Å





Symbol size: Measured Intensity Line Thickness: Calculated Intensity

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Different Models:



Original: Straight GGA for Tetragonal stoichiometric PrFeAsO

O_{7/8}: Super cell 2x2x1 with one oxygen removed and softened Fe-As NN Force constant (31 atoms/prim cell, Tetragonal, No Magnetism)

Magnetic Orthorhombic: LSDA for LaFeAsO with stripe structure of De la Cruz (16 atoms/prim. cell, 72 Ibam)

Magnetic Tetragonal: LSDA for LaFeAsO with stripes Force a=b (to distinguish effects of structure vs magnetism)

Soft: As "Original" but soften the FeAs NN Force constant by 30% Clipped: Mag. Ortho. with cut force constant

Soft IP: "Original" but soften FeAs NN In Plane components

Original ab-initio Calculations: Nakamura & Machida AQRB @ AOFSRR Cheiron School 2012



Over all: Better fit with magnetic calculations And best fit with either "clipped" or "IP Soft" model







Of the straight ab-initio calculations, magnetic models do better than non-magnetic due to softening of ferrmagnetically polarized modes However, they get details wrong, including too high an energy for AF polarized modes & predicting splitting that is not observed

Of the modified calculations, the in-plane soft generally seems best, but still data-calc difference are larger than doping/T effects.

Many people have suggested some sort of fluctuating magnetism, especially when magnetic calculations were seen to be better than non-magnetic calcs for the (non-magnetic) superconducting materials.

However, phonon response of parent and SC are nearly the same, and it seems unlikely that fluctuating magnetism is the answer in the parent material which shows static magnetism.

Still some missing ingredient(s) in the calculation -> Interpretation Difficult



Towards A Better Model?



Fitting of full spectra: intensity vs energy transfer.

Zeroth Approximation: All Samples are the Same Doping and Temperature Dependence are Weak

> Differences between samples is generally much smaller than between any calculation and the data

-> Fit all spectra to a common model and then fit subsets of the data to determine effects of doping or phase transitions.



15

12

9

6

3

Fit Full Spectra



In-Plane Soft is NOT bad but also But also NOT great.

Some improvement by allowing parts of nearly all NN bonds to change.

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Phonons in a Quasicrystal Mostly like a solid but some glassy character.

Building a Quasicrystal (Zn-Mg S

Periodic (BCC) -> <u>Crystalline</u> Approximant Aperiodic -> <u>Quasicrystal</u>

Compare to crystalline approximant & Simulation (2000 atoms/cell)

General Trend: Blurring out past a cutoff energy "Pseudo-Brillouin" zone size

De Boissieu, *et al.* Nature Materials, Dec 2007





 $\begin{array}{c} 0.0007 \\ 0.0006 \\ 0.0005 \\ 0.0004 \\ 0.0003 \\ 0.0002 \\ 0.0001 \\ 0 \\ 4 \\ 8 \\ 12 \\ 16 \\ 20 \\ 24 \\ 28 \\ E (meV) \end{array}$

Red: Fits, Blue: Simulation AQRB @ AOFSRR Cheiron School 2012



Ferroelectrics



Develop spontaneous polarization over macroscopic (>~um) domains when T is below the ferroelectric transition temperature (T_0). The origin is a displacement (offcentering) of ions. This is switchable by an external (electric) field.

Zeroth Approximation -> Two types of transitions

"<u>Displacive</u>" transition where there is a "continuous" below T "<u>Soft Mode</u>" transition Examples: BaTiO₃, KTaO₃, Gd (MoO₄)₃

Soft Mode Nomenclature Ferrodistortive transition involves softening of gamma point mode (ferroelectric modes) Antiferrodistortive involves softening of zone boundary mode (unit cell size increases)

"<u>Order-Disorder</u>" transition where displacements occur first metastably and then condense. No soft mode. Examples KH2PO4(KDP), NaNO2, Organics



Lines & Glass





Perovskite structure (ABO₃) popular as it is *relatively* simple and the cubic structure is inherently unstable. Why?

(3 atoms & one lattice constant)



Multiferroic EuTiO₃

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Perovskite - Similar to $SrTiO_3$

But with magnetism & coupling of magnetic & dielectric response









Temperature (K)



Phase transition just below RT - putative rotation oxygen octahedra. Calculations say disorder-order. Bussman-Holder, PRB, 2011







IXS -> Phonon Softening-> Displacive



Dispersion, Shell Model, & Approaching T_N



Shell model -> Good agreement Suggests "soft" mode has Slater character.



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"Softening" (or weight shift) as T is reduced toward T_N consistent with gradual change in dielectric response AQRB @ AOFSRR Cheiron School 2012



IXS under High Pressure



General Viewpoint: Just another thermodynamic variable.

Specific: elastic properties in extreme (geological) conditions based on IXS sound velocity measurements

Often: Just want the sound velocity Precision/Accuracy 0.2/0.8% using Christoffel's Eqn & 12 Analyzer Array <u>H. Fukui</u>, et al., JSR

~1 Order Improvement in Precision Over Previous IXS





One Scan with 12-Analyzers







IXS Data for Iron at 167 GPa





Very clear iron peak, but significant backgrounds (Note diamond background can be tricky -> careful orientation is required)

Sine fit gives velocity (V_p)





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Sound Velocity in Pure Iron



Birch's Law: Approximate Linear relation between density and velocity.



SIMPLE, in principle But 3 Facilities -> mostly different results

SP8 is faster than ESRF and similar to APS ESRF recently became faster than before

T-Dependence: APS is sensitive. SP8 and ESRF are not.

Discussion needed: Diamond? Sine fit? Other? AQRB @ AOFSRR Cheiron School 2012

$$F_{q}^{\text{Harmonic}}(\omega) = \frac{1}{\omega_{qj}} \left[\langle n_{\omega_{qj}} + 1 \rangle \ \delta(\omega - \omega_{qj}) + \langle n_{\omega_{qj}} \rangle \ \delta(\omega + \omega_{qj}) \right]$$

In principle, the phonon polarization is complex, but in some cases, it can be simple, or smooth, letting one get information about e.g. the form factor from frequency resolved measurements or sharp frequency changes from integrated measurements



Using Thermal Diffuse Scattering (TDS)



Phonon Intensity ~ 1/w -> In simple materials can use intensity to gain insight about phonon frequencies

Long history... at least to Colella and Batterman PR 1970 (Va dispersion)

Zn,





TDS from Silicon

Holt, et al, PRL 1999

More sensitive -> See Kohn anomalies when phonons span the Fermi surface







Bosak et al, PRL 2009

Detailed Phonon/FS behavior in SIMPLE materials More generally very useful, but not so detailed Learn where to look...



Atomic -> Electronic Dynamics

Atomic Dynamics





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Correlated atomic motions (phonons) play a role in many phenomena

(e.g. superconductivity, CDWs, phase transitions, thermoelectricity, magneto-elastic phenomena etc)

Electronic excitations similar: Orbitons...?

Orbiton Movie S. Maekawa

1 electron-> Very Weak



Calculated Orbiton Dispersion Ishihara

Key is to see momentum dependence (dispersion).

First Attempt via IXS: NJP 2004

d-d Excitations in NiO

First something simple...

There exist well-defined excitations in the charge transfer gap of NiO Antiferromagnet (T_N 523K), (111) Spin order

Long and Distinguished History First (resonant) IXS experiments (Kao, et al)

Non-Resonant IXS, $\Delta E \sim 300 \text{ meV}$



Larson, et al., PRL 99 (2007) 026401



Cai, et al, BL12XU, Unpublished



Orbitals

Results of Wanneir function analysis of LDA+U calcs of Larson et al PRL (2007)

Scattered Intensity

= 3.75 A-

[111]

s(q,ω) (arb. units) (g) s(q,ω) (eV-1nm-3)

a = 3.75 A-

[110] َّةُ(h)

[110] C₀O q=7Å-1 0.0 1.0 2.0 3.0 0.0 1.0 2.0 3.0 4.0 0.0 $\Delta E (eV)$ $\Delta E (eV)$ Cluster calculations Haverkort, et al PRL (2007)

ΔE=1.04 eV

ΔE=2.36 eV

[110]

First High Resolution Experiment

7 meV resolution at 1800 meV energy transfer



Cleaner "Optical Spectroscopy" due to 1. Non-resonant interaction S(Q,w) 2. Large Q & Q dependence -> selects multipole order. -> atomic correlations.

Linewidth -> information about environment Spin fluctuations Lattice interactions (Franck-Condon)

d-d Excitation in NiO 3 Days/Spectrum

Collective interaction <-> dispersion

Relevance to correlated materials... Gaps (Mott, Charge Transfer, SC) and Mid-IR band in high Tcs f-electron transitions, etc



Larger Energy Range

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Hiraoka et al

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"Momentum Resolved Optical Spectroscopy"



Conventional Optical Spectroscopy:

(Absorption, Reflectivity)

Information on electronic energy levels but *without* information on inter-atomic correlations or atomic structure

With x-rays, the short wavelength allows direct probe at atomic scale: Is an excitation collective or local (does it disperse)? What is the atomic symmetry of an excitation? How does it interact with the surrounding environment?

Resonant experiment vs non-resonant IXS experiment. Non-resonant experiment is simpler and can have higher resolution ... but badly flux limited







Orbital order exists -> there should be an equivalent excitation

Essential picture is of a correlated d-d excitation - change in electronic wave function on one atom is correlated with change at other atoms.



letters to nature

2001

Observation of orbital waves as elementary excitations in a solid

E. Saitoh*, S. Okamoto $\dagger,$ K. T. Takahashi*, K. Tobe*, K. Yamamoto*, T. Kimura*, S. Ishihara $\dagger\S,$ S. Maekawa \dagger & Y. Tokura* \ddagger





Calculated Dispersion

But some dissent: Two phonon peak? Gruninger (n), Kruger (prl), Marin-Carron (prl)

And also corroboration

Raman spectra from different materials

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LaMnO





Calculated Orbiton Dispersion



Saitoh et al, (N 2001)



van den Brink (PRL 2001)



Khaliullin & Okamoto (PRL 2002)

Still Some Debate: Energy scale?

Coupling to phonons and/or spin? Linewidth small or large?



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Oles, Feiner, Zaanen (PRB 2000)



Ishihara (PRB 2004)



Resonant IXS (RIXS)



K-Edge RIXS (d-d excitations)



$LaMnO_3$ Inami, et al (prb 2003)

Resolution Improving: 1000 -> 250 meV -> 70 meV



KCuF₃ Ishii, et al (PRB 2011)

Soft x-ray RIXS (SRIXS) Ulrich, Ament, et al (PRL 2009) At SLS/ADDRESS

L₃ in YTiO3, 55 meV Resolution at 450 eV



2-orbiton signal at 250 meV...

2011: STILL NO DISPERSING EXCITATIONS





High Energy Excitation in Sr₂CuO₃







Momentum transfer $(2\pi/a)$

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I (a.u.)

100

- 50







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Fig. 1. Raw experimental data for Li single crystal obtained in the dispersion compensating case. The X-ray Raman spectrum (XRS) has an edge like onset at the binding energy of the Li K-electron of about 55 eV. E and C denote the quasielastically scattered Rayleigh line and the $S(q, \omega)$ profile from the valence electrons, respectively.

Nagasawa, et al, J. Phys. Soc. Jpn. 58 (1989) pp. 710-717

FIG. 2. (a) Inelastic-scattering spectrum from graphite observed at 60°. (b) Inelastic-scattering spectrum from graphite observed at 90°. (c) Inelastic-scattering spectrum from diamond observed at 60°. The Raman parts are inserted with an expanded scale. (a) and (b) were obtained with a Ge(440) dispersing crystal at 8900 eV excitation and (c) was obtained with a Ge(333) crystal at 8400 eV excitation. The Compton shift at 60° scattering does not coincide exactly for graphite and diamond because the excitation energy is slightly different.

Tohji&Udagawa, PRB 39 (1989) 7590 AQRB @ AOFSRR Cheiron School 2012

X-Ray Raman Scattering

Suppose you would like to measure the structure of the oxygen kedge (at 532 eV) of a sample inside of complex sample environment...



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Supercritical

(B)

(C)

0 (8/cc)

0.38

0.55

0.64

(g) 759 K = 1.19 T

Water

Ishikawa, et al, Submitted



Nuclear Inelastic Scattering

First Demonstrated (Clearly) by Seto et al 1995

Mössbauer Resonances Exist in Different Nuclei...

Isotope	Transition energy (keV)	Lifetime (ns)	Alpha	Natural abundance (%)
¹⁸¹ Ta	6.21	8730	71	100
¹⁶⁹ Tm	8.41	5.8	220	100
⁸³ Kr	9.40	212	20	11.5
⁵⁷ Fe	14.4	141	8.2	2.2
¹⁵¹ Eu	21.6	13.7	29	48
¹⁴⁹ Sm	22.5	10.4	~ 12	14
¹¹⁹ Sn	23.9	25.6	~ 5.2	8.6
¹⁶¹ Dy	25.6	40	~ 2.5	19

Resonances have relatively long lifetimes so that if one has a pulsed source, one can separate the nuclear scattering by using a fast time resolving detector.

Nuclear Scattering





NIS: Good and Bad



Important things to note:

- 1. Element and isotope selective.
 - 2. Gives Projected Density of states NOT Dispersion
 - (But it does this nearly perfectly)
 - 3. Resolution given only by monochromator
 - (analyzer is ~ueV)
 - Easier optics but setup not optimized
 - (compensated by large cross section)

Surfaces by NIS

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wave vecto

The large nuclear cross section allows sensitivity even to monolayers with relatively low backgrounds

RIKE

- S [001] ion pump --- S [110] 0.24stage for an PD detecto 20 E / meV X - rav beam bulk 0.2differentially pumped gate valves EED/AES 0.06 (a) 40 ML0.160.04 $DOS (meV^{-1})$ $10 \mathrm{ML}$ 0.02 S 0.0 $3 \,\mathrm{ML}$ 0.04 0.08 ď linear sity transfer 0.02 urbo-molecular feedthrough ē S-1 $2 \mathrm{ML}$ for gas flow 0.00 0.04윤 contacts for sample heating 0.06 d thermocouples readou feedthrough for sample rotation $1 \,\mathrm{ML}$ 0.04 0.00.02 102030 400 energy (meV) In-Situ Deposition @ ESRF ⁵⁷Fe on W(110) ⁵⁷Fe with ⁵⁶Fe Stankov et al, JP 2010 Stankov et al PRL (2007) Slezak et al PRL 2007 Also: Multilayers - Cuenya et al, PRB 2008 AQRB @ AOFSRR Cheiron School 2012





Compton Scattering



For very large Q and $\Delta E{\mathord{\mbox{\scriptsize e}}} {\mathord{\mbox{\scriptsize E}}}$ one can take

$$S(\mathbf{Q},\boldsymbol{\omega}) = \frac{m}{\hbar Q} \iint d\mathbf{p}_{x} d\mathbf{p}_{y} \quad \rho(\mathbf{p}_{z} = \mathbf{p}_{Q})$$
$$\equiv \frac{m}{\hbar Q} \quad J(\mathbf{p}_{Q})$$

Typical: Q~100Å⁻¹ E>100 keV

Ie: Compton scattering projects out the electron momentum density.

Typical of incoherent scattering...





Three-Dimensional Momentum Density Reconstruction

Three-dimensional momentum density, n(p), can be reconstructed from ~10 Compton profiles.

$$J(p_z) = \iint n(\mathbf{p}) dp_x dp_y$$

<u>Reconstruction:</u> • Direct Fourier Method • Fourier-Bessel Method • Cormack Method • Maximum Entropy Method

Momentum density, n(p)

Note: a bulk probe that is tolerant of sample imperfections.

From Y. Sakurai



Fermi surfaces of Cu and Cu alloys







Cu



Cu-27.5at%Pd

Cu-15.8at%Al

Determined by Compton scattering at KEK-AR

J. Kwiatkowska et al., Phys. Rev. B 70, 075106 (2005)



Hole Locations in $La_{2-x}Sr_{x}CuO_{4}$ Sakurai, et al, Science 2011

1.5





Parent vs Optimal Doping: Holes in ZR singlet state

Optimal vs Overdoped Holes in Cu dz² orbital

Cluster Calculations



& Some density that is not yet understood

Band Structure Calculations







SPring

SPr Reducing the Two-Theta Arm Size Dispersion Compensation: Houtari, et al JSR (2005) Crystal Cube Array -- PISD -PSD Intensity (arb. units) Image 190 meV 2cEnergy -200 -100100 200 300 -3000 Energy transfer (meV) d Dispersion C---PISD -PSD units) ntensity (arb. 27 meV Source Animation -300-200 -1000 100 200 300 D. Ishikawa Energy transfer (meV) $4R^2$ ΔE 5 meV at 16 keV dR=2m, p=0.1 -> d=50 mm \boldsymbol{E} D





Masuda, Mitsui, Seto, et al, JJAP (2008, 2009)



Beyond Plane Waves

Usual Measurement is a two-point correlation function:

$$S(\mathbf{Q},\omega) = \frac{1}{2\pi\hbar} \int dt \ e^{-i\omega t} \int d\mathbf{r} \int d\mathbf{r}'$$
$$x \ e^{i\mathbf{Q}\cdot(\mathbf{r}-\mathbf{r}')} \langle \rho(\mathbf{r}',t)\rho(\mathbf{r},t=0) \rangle$$

Complete picture includes higher order correlation functions

 $I(\mathbf{Q},t)I(\mathbf{Q}',t') \propto \langle \rho(\mathbf{r}',t)\rho(\mathbf{r},0)\rho(\mathbf{s}',t')\rho(\mathbf{s},0) \rangle$



Wochner et al, PNAS (2009)

Α ((J'0.6· 10 100 1000 Δt[s] (A) Autocorrelation at One Q (B) Cross-Correlation, Different Q $\langle I(Q, \varphi)I(Q, \varphi + \Delta)\rangle_{\varphi} - \langle I(Q, \varphi)\rangle_{\varphi}^{2}$ $C_O(\Delta) =$

 $\langle I(Q, \varphi) \rangle_{\varphi}^2$





SPrir





SPring





A Next Generation Beamline



Dramatic Improvement to Source and Spectrometer allows new science...



New Field: Electronic excitations

Also many expts now flux limited: Phonons in complex materials Extreme environments (HT, HP liquids) High pressure DAC work (Geology) Excitations in metal glasses Super-cooled liquids etc

<u>Improvements</u> Flux On Sample: x10 Parallelization: x3 Small Spot Size: x5





Quantum NanoDynamics Beamline

High resolution spectrometer: <1 to 6 meV 10 m Arm, Good Q Resolution, to 12 Å⁻¹ Large (42 element) analyzer array.

Medium resolution: 10-100 meV 2m Arm, Large Q Accepta Good tails using (888)

SPri











AQRB @ AOFSRR Cheiron School 2012

First Monochromatic Light: Sunday

Medium Resolution Spectrometer

SIKE



Based on a 2m Arm & 3x3 Array Of Analzyers: Energy resolution: ~10 to 100 meV (mono dependent) Analyzers at Si(888) at 15.816 keV (reduced tails compared to lower order) Dispersion compensation with Temperature Gradient keeps high resolution with large space near sample. Maximum momentum transfer ~15 Å⁻¹ (phase plate needed @ 90 Deg.) Solid Angle Gain: x25/Analyzer compared to high res spectrometer Commissioning to begin late in 2012









Thanks for Your Attention!